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PATENT
#99-0563-UNI
Case #J3508(C)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edmondson et al.
Serial No.: 09/717,442
Filed: November 21, 2000
For: PROCESS FOR THE MANUFACTURE OF SHAPED ARTICLES

Edgewater, New Jersey 07020
April 20, 2001

SUBMISSION OF PRIORITY DOCUMENT

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Sir:

Pursuant to rule 55(b) of the Rules of Practice in Patent Cases, Applicant(s) is/are submitting herewith a certified copy of the United Kingdom Application No. 9927682.6 filed November 23, 1999, upon which the claim for priority under 35 U.S.C. § 119 was made in the United States.

It is respectfully requested that the priority document be made part of the file history.

Respectfully submitted,

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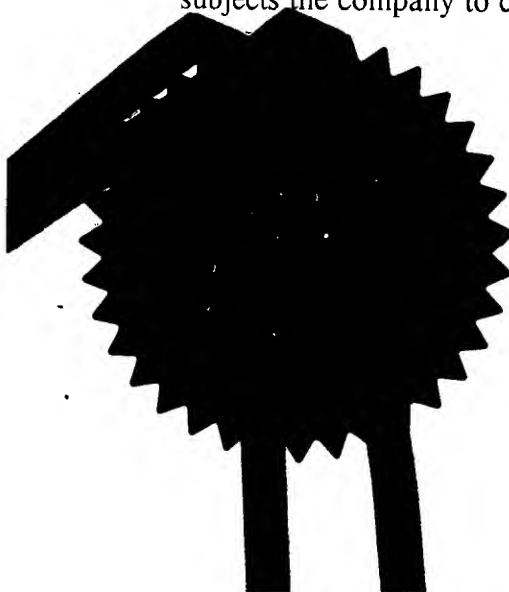
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1. Your reference **J3508 (C)/tc TC 1700**
2. Patent application number **23 NOV 1999 9927682.6**
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3. Full name, address and postcode of the or of each applicant (underline all surnames) **UNILEVER PLC
UNILEVER HOUSE, BLACKFRIARS
LONDON, EC4P 4BQ**

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention **PROCESS FOR THE MANUFACTURE
OF SHAPED ARTICLES**

5. Name of your agent (if you have one) **ELLIOTT, Peter William**
"Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode) **PATENT DEPARTMENT, UNILEVER PLC
COLWORTH HOUSE, SHARNBROOK
BEDFORD, MK44 1LQ**

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Country	Priority application number (if you know it)	Date of filing (day / month / year)

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Request for preliminary examination and search (*Patents Form 9/77*)

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Request for substantive examination (*Patents Form 10/77*)

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11. I/We request the grant of a patent on the basis of this application.

Signature(s)

Date: 23/11/99

Sandra Jane EDWARDS, Authorised Signatory

12. Name and daytime telephone number of person to contact in the United Kingdom
- Trudi Clark, Tel 01234 22 2360

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PROCESS FOR THE MANUFACTURE OF SHAPED ARTICLES**TECHNICAL FIELD**

5 The present invention relates to an extrusion process and apparatus for forming a shaped article from a plastic material. In particular, it relates to a process and apparatus for forming a shaped detergent bar.

BACKGROUND

10 A conventional method for the manufacture of detergent bars is milling followed by extrusion ("plodding") and stamping. In such a process, a pre-formed composition comprising all components of the bar is typically plodded, i.e. extruded through an eye-plate, to form a continuous "rod", and the
15 continuous rod cut into smaller pieces of predetermined length, commonly referred to as "billets". These billets are then fed to a stamper, or alternatively, and especially in the production of low cost non-soap detergent (NSD) bars, are merely given an imprint on one or more surfaces. Such
20 embossing or imprinting may be achieved using a die of the same dimensions as the bar surface which is hit with force, such as with a mallet, or a die in the shape of a roller.

25 Stamping of detergent bars using a die is carried out to give the bars a reproducible shape, smooth surface and/or to imprint a design such as a logo, trade mark or similar onto at least part of a surface of the bar. Stampers typically have a die formed in two halves each with a surface that contacts the billet during the stamping operation. These
30 surfaces are adapted to close to a pre-set separation distance, thereby compressing the billet between the die halves to give the bar its final shape and appearance, and

then separate. Excess composition is squeezed out from the die halves as they close. This is commonly referred to as the "flash". The flash is then separated from the soap bar by transferring the bar through holes in a "deflashing plate". Flash can account for up to 40% of the billet material and is generally recycled back into the milling step.

The pressures exerted by stamper dies on detergent billets are typically much higher than those employed in simple embossing of a logo. Two common problems, which are experienced with stamping processes, are poor release of the bars from the dies and die-blocking. In die-blocking, small amounts of residual detergent left on dies builds up during continued use, which can result in visible imperfections on the bar surface. Die-blocking can also lead to poor or even non-release of the bars from the die surface.

The range of products that can be produced by stamping is limited. In order to be stamped, the billets must be substantially "solid" so they can be readily conveyed and fed to the stamper and yet sufficiently plastic to be deformed in the stamping process. In particular, the detergent composition must not be too soft and sticky. However, the stamping process itself has a tendency to lead to softer and more sticky material as the detergent composition in the form of recycled flash is exposed to repeated work and shear.

Stamping can also be a limiting factor with respect to the speed of a bar finishing line. This is due to a combination of the handling difficulties encountered when employing a

5 stamping process and die-blocking. Handling difficulties include the multiple steps that are inevitably involved, i.e. the preparation of the billets, conveying to and feeding into the stamper, the stamping step and the deflashing step.

10 Many stamping devices contain some sort of ejecting means to aid release of the formed bars, however, numerous other solutions to the problems of die-blocking and poor die release have been proposed. All these solutions have focused on adaptation of the stamping device. There is still a great need for a process and apparatus that either reduces the problems associated with stamping, or negates the need for a stamping process altogether.

15 We have now found that the problems associated with stamping, and in particular those associated with die blocking and the production and repeated reworking of excessive flash material, can be significantly reduced if the detergent composition is extruded through an extruder die containing an orifice whose cross-sectional area is varied as the composition passes through the die thus imparting a suitable shape on the bar. If fact, we have now found that the need for a stamping step in an extrusion and stamping process can be negated by use of such a process.

DEFINITION OF THE INVENTION

30 In a first aspect, this invention provides a process for the production of a shaped article in which a composition in an extrudable form is extruded through a die comprising at least one orifice characterised in that the cross-sectional area for flow through the said at least one orifice is

varied during extrusion whereby an extrudate is obtained whose cross-sectional area varies along at least a part of its length.

5 In a second aspect, this invention provides an extruding apparatus for forming shaped articles comprising an extruder die which includes at least one orifice, the cross-sectional area of which may be varied in use, and a means for applying pressure to a composition which is in an extrudable form to
10 extrude the composition through the at least one orifice.

In a third aspect, this invention provides for a detergent bar obtainable by the process of the invention.

15 DETAILED DESCRIPTION OF THE INVENTION

Any reference hereafter to a die is understood to be to an extruder die, unless specified otherwise. An extruder die is a die through which material is extruded, the die imparting shape on the extrudate. For the avoidance of
20 doubt, an extruder die does not include a stamping die.

Means for applying pressure

In the extrusion process of the invention, a means of applying pressure to the detergent compositions to drive it
25 through the die is required.

In the extrusion process of the invention, the means for applying pressure to the detergent compositions can be any device capable of containing detergent composition and of
30 applying pressure to the detergent composition so as to drive it through the die comprising the orifice, i.e. extrude it.

In this respect, the present invention can utilise conventional detergent bar manufacturing equipment. The conventional method for manufacturing shaped detergent bars by extrusion involves milling, extrusion and stamping.

5

The function of the milling process is to homogenise and shear work the detergent composition, the raw materials of which might be in the form of loose aggregates, chips or flakes, to produce the required degree of homogeneity and phase structure. Milling is also used to "remove" any gritty particles from the detergent composition. After milling, the detergent composition in homogenised and worked form is either fed to the extruding apparatus or formed into pellets or noodles which are either stored as intermediates or fed to the extruding apparatus. The milling step is often carried out in what is referred to as a "refiner".

15

The function of the extrusion process is to form the detergent composition into bars or billets of required cross-section, which can then be stamped into bars of required shape. An extruding apparatus performing this function is often referred to as a "simple plodder".

20

The most common forms of apparatus used for both milling and plodding are screw extruders. Indeed, the same screw extruder may perform both functions.

25

The simplest forms of screw extruders have a single screw. The feed stock, either in homogenised and worked form or in the form of loose aggregates, chips, pellets, noodles or (recycled) bars is fed into the extruder barrel, generally via a hopper, where it fills the annular space between the

30

extruder worm (screw) and the barrel. In normal practice the barrel is stationary and the worm rotates inside the barrel. Frictional/viscous drag forces act on the material, both at the barrel as well as at the worm surfaces. The resultant force is responsible for the forward transportation of the processed mass like a stationary nut on a rotating bolt towards the discharge end. At the discharge end, the extruder may have a perforated plate, through which the processed mass is forced. This is generally known as the "noodler" plate. The processed mass emerges in the form of rods/ribbons/sheets from the perforated plate.

If the objective is to produce chopped noodles or pellets for intermediate storage or to serve as a feed for subsequent process step then a suitable cutter is provided at the discharge end to chop the extrudates into smaller pieces. If the objective is to form the mixed mass into billets or bars, then, in a conventional process a cone and eye-plate are provided at the discharge end of the extruder, optionally with the perforated plate. The extruder forces the mixed mass through these end fittings to produce a continuous rod which is cut into billets for stamping. Designs of perforated plates, cones and eye-plates vary considerably from application to application.

Machines called duplex or twin worm plodders have two worms (or screws) which are parallel, non-intermeshing and mounted tangentially with respect to each other within a barrel.

The worms may be co-rotating but usually they are counter-rotating. Intermeshed counter and co-rotating twin screw extruders are also known for processing of soap/detergent

mass. In the case of non-intermeshed duplex plodders drag forces similar to those encountered in single worm plodders act on the processed mass and push the same in the forward direction. In the case of intermeshed twin screw extruders, this process is assisted by the intermeshing of the two screws.

Thus, the present invention can utilise conventional detergent bar manufacturing equipment, suitably modified, for example by replacing the eye-plate at the discharge end of the extruder with an extruder die comprising an orifice through which the cross-sectional area for flow can be varied in use.

Thus, in one embodiment of the invention, the pressure applying means in the extrusion process and apparatus comprises a screw extruder, preferably a twin screw extruder.

Other suitable types of apparatus that lend themselves to applying pressure to the detergent composition include positive displacement pump-type arrangements, such as, for example, piston pumps, gear pumps and lobe pump-type arrangements in addition to conventional screw extruders.

The most preferred pressure applying means in the process and apparatus of the invention is a positive-displacement pump, such as, for example a simple ram extruder. A ram extruder typically comprises a reservoir or barrel for the detergent composition, a plunger for applying pressure to the material in the reservoir and outlet through which the detergent composition is driven.

In another preferred embodiment, the pressure applying means comprises a cone at the discharge end, optionally preceded by a perforated plate.

5 Conventional detergent bar manufacturing equipment can also be used to feed detergent material to a separate pressure applying means. For example, and in a preferred embodiment, detergent material, preferably in the form of noodles or pellets, is fed from a screw extruder to a positive-
10 displacement pump.

Extruder die

The extruder die used in accordance with this invention is defined as having at least one orifice whose cross-sectional
15 area for flow may be varied as the extrudate flows through.

The die may have more than one orifice so long as at least one orifice is of the variable type. If there is more than one orifice, it is preferred that all the orifices are of
20 the variable type.

Furthermore, if the die contains more than one orifice, then preferably all orifices are substantially in the same plane. For example, an orifice may typically be defined by adjacent
25 parallel parts which overlap, the amount of overlap determining the cross-sectional area for flow. Such parts are considered to be substantially in the same plane.

In dies containing more than one variable orifice, the
30 cross-sectional areas of the variable orifices can be coordinated such that the sum of the cross-sectional areas

remains substantially constant as the detergent composition passes through the die.

5 The die may be of such design that its volume can be increased or decreased during the extrusion process in that section of the die which precedes the orifice with respect to the direction of extrusion. For example, the die can be of a telescopic design in that portion of the die. Other die designs can be used as will be apparent to the skilled person.

10 In a preferred embodiment, the temperature of at least part of the die is controlled during the extrusion process. This may or may not include controlling the temperature of the orifice. This can improve the surface finish of the extrudate. Heating is especially preferred where the extrudate from the die is substantially in final bar form, i.e. there is to be little or no further processing steps. Suitable means for heating are well known to the person skilled in the art.

Variable Orifice

25 The "cross-sectional area" of a variable orifice is defined as the cross-sectional area defined by the orifice through which the detergent composition is extruded and which imparts shape on the extrudate.

30 The orifice must be of such construction that its cross-sectional area can be varied during the extrusion process.

In one embodiment, the orifice is constructed, at least in part, of a material which can be deformed by the application

of an external pressure so as to vary its cross-sectional area. Suitable deformable materials include rubber and other elastomers.

5 In another embodiment, the orifice is constructed of at least two parts which define its cross-sectional area and at least one part can be moved relative to the other so as to vary its cross-sectional area.

10 In a preferred embodiment, the orifice is of an iris diaphragm construction, i.e. it comprises an adjustable diaphragm of overlapping parts at least two of which can move relative to each other so as to vary its cross-sectional area.

15 The movement or deformation of the orifice so as to vary its cross-sectional area can be achieved using any suitable arrangement. For example, a suitable arrangement of cams or the use of hydraulically driven pistons may be used to vary
20 the orifice.

As the cross-sectional area of an orifice is varied as detergent composition flows through it, the cross-sectional area of the extrudate is also varied. Thus by varying the
25 cross-sectional area of an orifice in a controlled fashion, shaped extrudate is obtained.

Preferably, the cross-sectional area for flow through any variable orifice is varied in a cyclic manner such that
30 extrudates of regular repeating shape are formed. More preferably, the cross-sectional area for flow through any variable orifice is varied in a cyclic manner such that the extrudate is in substantially final bar form, i.e. there is

to be little or no further processing steps. The extrudate can be cut into individual bars or billets either by appropriate constriction of the orifice or a separate cutting step can be used. A logo can then be imprinted onto the bar if required. The force required to mark a bar with a logo is far less than that required for conventional stamping and so does not negate the benefits of avoiding the need for conventional stamping.

Alternatively, the extrudate can be further processed. For example, the shaped extrudate can be marked with a logo and/or stamped in a conventional manner to finalise the shape of the bars. The process of partially pre-shaping the extrudate provided by the present invention confers significant advantages when employing a stamping step. Stamping involves squeezing out excess composition from the die halves as they close. If the bar is already partially shaped, then the amount of excess, i.e. flash material, is reduced and the compression force required in the stamping step is reduced. Reducing the amount of flash material means that less material is recycled and reworked. Thus the problems of the detergent composition becoming overly soft and sticky are alleviated to a great extent.

Extrusion process

The volumetric flow rate of material entering the extruder die, i.e. the volumetric flow rate of material being fed by the pressure applying means to the die, is hereinafter referred to as the primary volumetric flow rate.

The volumetric flow rate of material passing through the orifice or orifices is hereinafter referred to as the secondary volumetric flow rate.

In the process of the invention, it is highly preferred that the primary volumetric flow rate is kept substantially constant. In this respect, a positive-displacement device such as a ram is very well suited to the invention.

5

In the process of the invention it is also highly preferred that secondary volumetric flow rate is varied during the extrusion process.

10 It is also preferred that fluctuations in the extrusion pressure, i.e. the pressure at the entrance to the die, are minimised throughout the extrusion process.

15 The volume of the die may be increased and decreased as described previously. This is especially useful with respect to maintaining a substantially constant primary volumetric flow rate whilst varying the secondary volumetric flow rate. Furthermore, it can be used to minimise fluctuations in extrusion pressure throughout the process.

20

In dies containing more than one variable orifice, the cross-sectional areas of the variable orifices can be coordinated such that the sum of the cross-sectional areas remains substantially constant as the detergent composition passes through the die; this will also help to reduce
25 fluctuations in the extrusion pressure.

If the total cross-sectional area for flow does vary during the extrusion process, it is preferred that the total cross-sectional area for flow through the orifice or orifices and
30 the secondary volumetric flow rate are controlled with respect to each other such that variations in the extrusion

pressure are minimised, especially as the area for flow through the orifice or orifices tends to zero, and excessive pressures are not generated in the extruder die.

5 **Brief description of the drawings**

The present invention will further be described, by way of example only, with reference to the accompanying drawings, in which:

10 Figure 1 shows an extruder die for use in the process of the invention comprising a variable orifice formed from an elastomeric material;

15 Figure 2 shows another extruder die for use in the process of the invention whose volume can be adjusted and comprising an orifice made up of two parts;

20 Figure 3 shows another extruder die for use in the process of the invention comprising two orifices;

Figure 4 shows another extruder die for use in the process of the invention, in which a variable orifice is formed from overlapping openings in two or more rotating discs; and

25 Figure 5 shows another extruder die for use in the process of the invention, in which two or more cylinders with parallel axes of rotation are rotated on axes normal to the direction of extrusion.

30 **Detailed description of the drawings**

Figure 1 shows an extruder die comprising a variable orifice formed from an elastomeric material. Figure 1(a) is an end-

on view of the die, whilst Figures 1(b) and 1(c) are sectional views of the same die, with the orifice opening reduced in Figure 1(c). The extruding direction is represented by (X). The orifice comprises a ring made of a deformable material (1) such as an elastomer enclosed in a metal support (2). The ring is inflated by application of a pressurised fluid via opening (3). Since the outer surface of the ring is constrained by the metal of the die extruder this results in a reduction in the size of the orifice opening inside the ring.

Figure 2 shows an extruder die consisting of an orifice defined by two moving parts. Figure 2(a) is a sectional view of the die constructed from an inner cylinder(1) and an outer cylinder (2), the inner cylinder being able to slide in or out of the outer cylinder as indicated by the arrow (N), along the direction of extrusion, represented by (X), allowing the die volume to be increased or decreased. As will be understood from the foregoing text, this movement provides a means for the accumulation of material within the die thereby accommodating the instantaneous variations and differences in the primary and secondary volumetric flow rates. Figures 2(b) and 2(c) show end views of the die in which the orifice opening area (3) is defined and controlled by two sliding plates (4,5). These plates are designed to cross one another and can be completely closed off resulting in separation of the extrudate into individual bars. The timing of the two motions i.e. the change in area of the orifice and the axial sliding of the cylinder are coordinated to produce the desired 3-dimensional shape of the bar and to eliminate excessive pressure generation when the orifice area is zero. At this latter position the outward

movement of the cylinder would match the volume throughput of the extruder.

Figure 3 shows an extruder die design with twin variable orifices. Figure 3(a) shows the two parts defining the orifices. These consist of a main end plate (1), with an open area (2), and a sliding centre plate (3). As the centre plate (3) is reciprocated across the end plate (1), the opening in one orifice is reduced whilst the opening in the other orifice is increased. Thus the total cross-sectional area for flow remains constant or near constant. Figure 3(b) shows the extrudate cross-section produced for each of the three slider positions shown in figure 3(c).

Figure 4 shows a design in which the variable orifice is formed from overlapping openings in two or more rotating discs. Figure 4(a) is an end view. Figure 4(b) is a sectional view. The extruding direction is represented by (X).

Figure 5 shows a design in which two or more wheels or cylinders (1,2), with parallel axes of rotation, are rotated on axes normal to the direction of extrusion, represented by (X), either with or against the flow of extrudate or a combination of the two. Figure 5(a) is a sectional side view. Figure 5(b) is a sectional end view. The edges of the cylinders are contoured in 3 dimensions such that the gap between the wheels forms an orifice (or a number of orifices spaced axially along the cylinders) whose opening area changes with rotation of the wheels. An advantage of this design of die extruder is that a logo may be

incorporated directly into the bar. Further cooling or heating may be applied to the surfaces of the extrudate.

5 In a preferred embodiment of this design the wheels/cylinders are rotated such that their surfaces alternately move with and against the direction of flow of the extrudate such that the cross-sectional area for flow of the extrudate through the orifice(s) formed by the wheels/cylinders increases and decreases correspondingly.
10 The velocity of the wheels or cylinders is controlled such that the velocity of detergent adjacent to the forming surface of the wheels at the nip position is greater than or equal to the wheel velocity at that point in the direction of flow. In other words the wheel is wiped by the extrudate
15 flowing through it.

Detergent bars

By "detergent bar" is meant a tablet, cake or bar in which the level of surface active agent, which comprises soap,
20 synthetic detergent active or a mixture thereof, is at least 5% by weight, more preferably at least 10% by weight, based on the bar.

Detergent composition

25 As will be apparent to the person skilled in the art, the extrusion process of this invention requires that the extrudate be in a substantially "solid" but extrudable form.

30 Nevertheless, the process of the invention allows a far wider range of formulations to be formed into shaped detergent bars than is possible using the conventional milling, plodding and stamping process.

Other applications

Although the present invention is primarily directed at the production of shaped detergent compositions, it will be apparent to the person skilled in the art that the process and apparatus of the present invention may be useful in the manufacture of other types of shaped articles. This is particularly the case where an extrusion process and/or a stamping process is currently employed in the manufacturing process or even where the starting composition exists in a plastic form suitable for extrusion. Suitable applications may, for example, include the manufacture of various food products, including confectionery.

CLAIMS

1. A process for the production of a shaped article in which a composition in an extrudable form is extruded through a die comprising at least one orifice characterised in that the cross-sectional area for flow through the said at least one orifice is varied during extrusion whereby an extrudate is obtained whose cross-sectional area varies along at least a part of its length.
2. A process according to claim 1, in which the orifice is constructed at least in part from an elastically deformable material.
3. A process according to claim 1 or claim 2, in which the orifice is constructed of at least two parts which define its cross-sectional area and at least one part can be moved relative to the other so as to vary its cross-sectional area.
4. A process according to claim 3, in which the orifice is of an iris diaphragm construction.
5. A process according to claim 3, in which the orifice is constructed of at least two adjacent cylinders, the cylinders having substantially parallel axes of rotation and being positioned so their circumferential surfaces are in contact, the axes of rotation being substantially normal to the direction of extrusion, the orifice being defined by a contoured region of the circumferential surface of at least one of the cylinders, the cross sectional area defined by the said

contoured region or regions varying with the rotation of the cylinders.

- 5 6. A process according to any preceding claim, in which the primary volumetric flow rate is kept substantially constant during extrusion.
- 10 7. A process according to any preceding claim, in which the secondary volumetric flow rate is varied during extrusion.
- 15 8. A process according to any preceding claim, in which the die comprises more than one orifice whose cross-sectional area for flow is varied during extrusion.
- 20 9. A process according to claim 8, in which the cross-sectional areas of the orifices are co-ordinated such that the sum of the cross-sectional areas remains substantially constant during extrusion.
- 25 10. A process according to claims 1 to 8, in which the total cross-sectional area for flow through the orifice or orifices and the secondary volumetric flow rate are controlled with respect to each other.
- 30 11. A process according to any preceding claim, in which the temperature of at least part of the die is controlled during the extrusion process.
12. A process according to any preceding claim, in which the shaped article is a detergent bar.

13. An extruding apparatus for forming shaped articles comprising an extruder die which includes at least one orifice, the cross-sectional area of which may be varied in use, and a means for applying pressure to a composition which is in an extrudable form to extrude the composition through the at least one orifice.
14. An apparatus according to claim 13, in which the means for applying pressure comprises a positive-displacement pump.
15. An apparatus according to claim 13 or claim 12, which further comprises a means for varying the volumetric flow rate through the orifice.
16. A shaped detergent bar obtainable by the process of any one of claims 1 to 12.

ABSTRACT

A process for the production of a shaped article in which a composition is extruded through a die comprising at least one orifice characterised in that the cross-sectional area for flow through the said at least one orifice is varied during extrusion whereby an extrudate is obtained whose cross-sectional area varies along at least a part of its length. Apparatus suitable for carrying out the process are also described.

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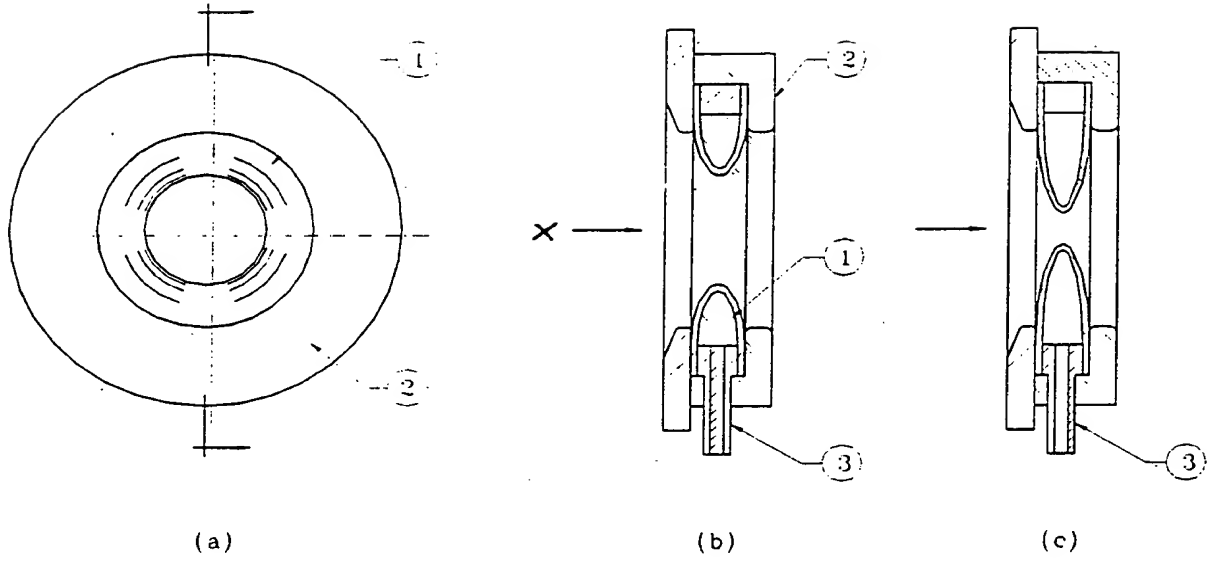


Figure 1.

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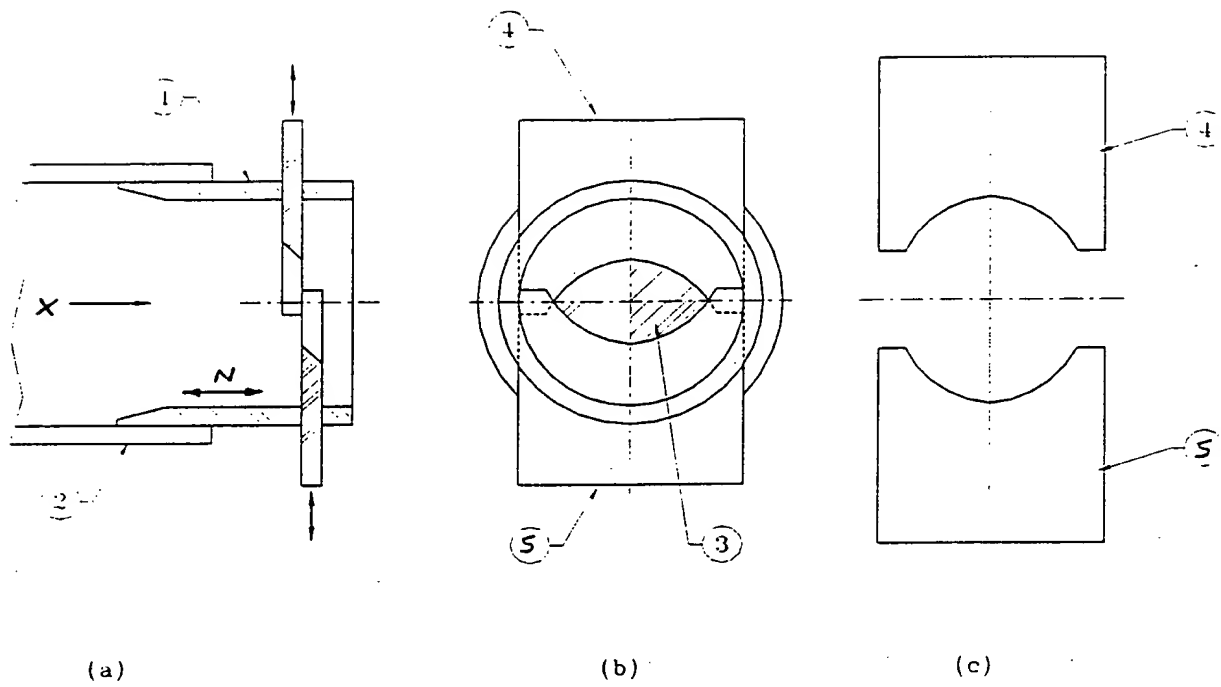
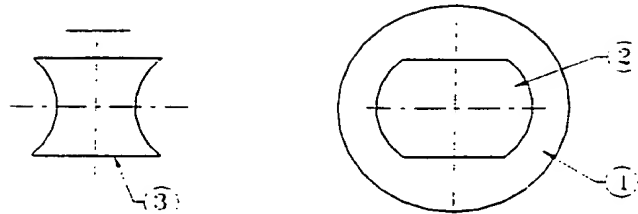


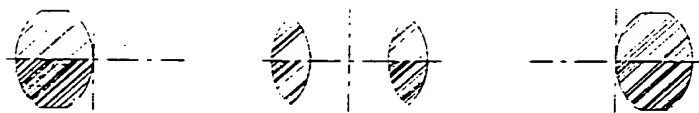
Figure 2

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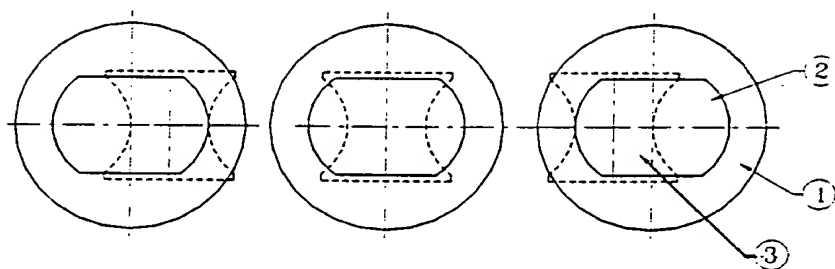
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(a)



(b)



(c)

Figure 3.

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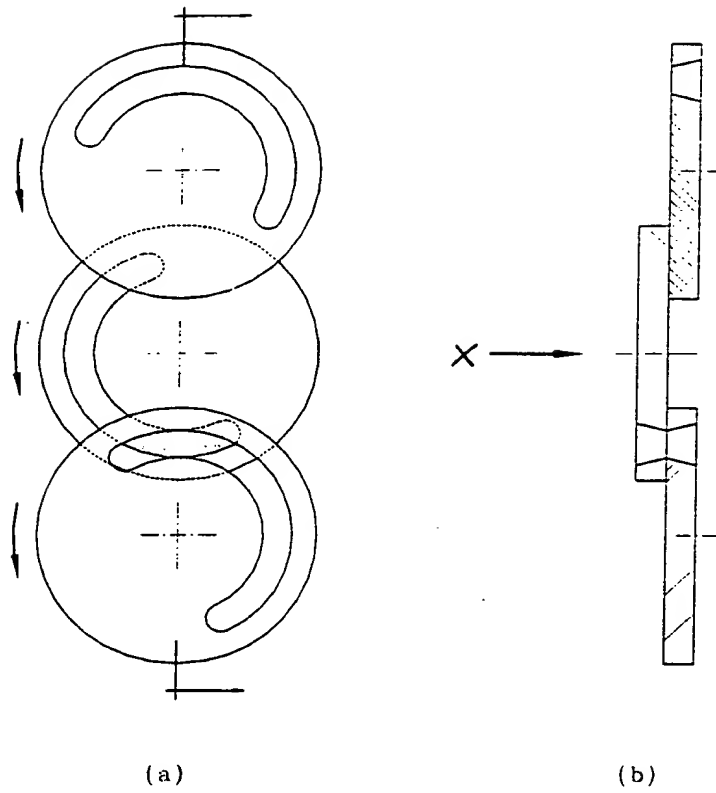


Figure 4.

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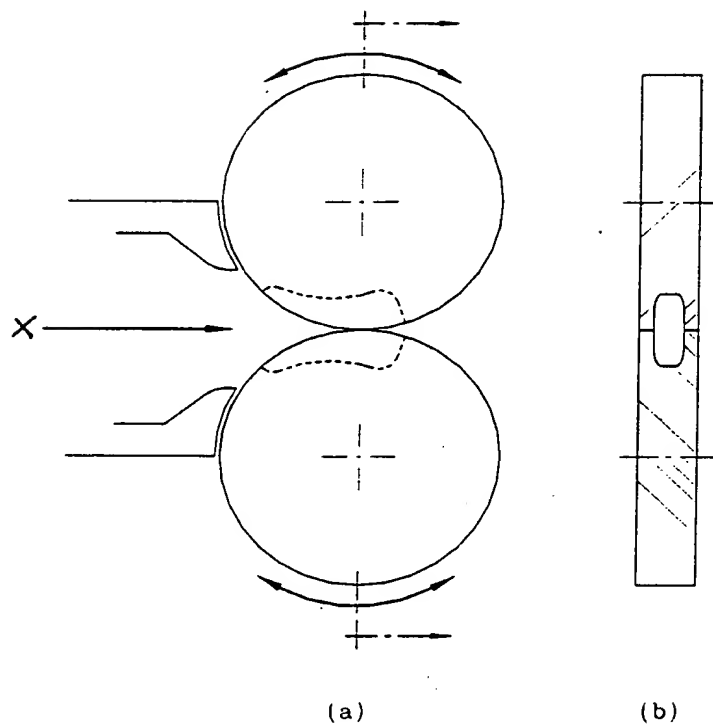


Figure 5.

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